



**The University of North Carolina at Charlotte
Board of Trustees**

Audit, Compliance, and Enterprise Risk Management Committee Meeting

Friday, October 2, 2015, 8:00–9:30 a.m.

UNC Charlotte Foundation Building, Room 212

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of the April 23, 2015, Meeting Minutes 8:00 a.m.
Catherine P. Bessant, Chair
Document: April 23, 2015, Meeting Minutes

2. Internal Audit Update 8:00-8:15 a.m.
Tom York, Director of Internal Audit
Documents: Annual Audit Plan FY2016 Update
Summary of Internal Audit Reports Issued Since Last Meeting
Construction Audits Update
Active Findings Report
Internal Audit Charter Update
Internal Audit Department Strategic Plan 2016-2021
Annual Certification Tasks for the Board of Governors
Audit Department Balanced Scorecard FY 2016
“On the 2015 Higher Education Audit Committee Agenda,” KPMG, 2015

3. Compliance Update 8:30-8:40 a.m.
Sue Burgess, Director of Compliance

4. Clery Report 8:40-8:55 a.m.
Jeff Baker, Chief of Police
Document: 2014 Clery and Crime Statistics Review

5. Special Committee Recommendations—Status Update 8:55-9:00 a.m.
Krista Newkirk, Chief of Staff
Document: Special Committee Recommendations—Status Update
6. Motion to Convene in Closed Session 9:00 a.m.
Catherine P. Bessant, Chair
- a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
 - b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11 (a)(3); and*
 - c. *to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. §143-318.11 (a)(6).*

Closed Session

7. Report on Litigation and Risk Management Issues 9:00-9:15 a.m.
David Broome, Vice Chancellor and General Counsel
8. Title IX Reports 9:15-9:30 a.m.
Dawn Floyd, Title IX Coordinator
9. Motion to Resume in Public Session 9:30 a.m.
Catherine P. Bessant, Chair

Public Session

10. Adjourn 9:30 a.m.
Catherine P. Bessant, Chair

Members

Catherine P. Bessant, Chair
Mary Ann Rouse
Laura A. Schulte
Michael L. Wilson
Karen A. Popp, *Ex Officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Executive Committee Meeting
Friday, October 2, 2015, 9:30-10:30 a.m.
UNC Charlotte Foundation Building, Room 212

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of the April 23, 2015, Meeting Minutes
Karen A. Popp, Chair
Document: April 23, 2015, Meeting Minutes
2. Coaching Contracts (Orientation)
Dave Broome, Vice Chancellor for Legal Affairs & General Counsel
Document: Head Coaches' Contracts
3. Belk Tower Condition Analysis
Philip L. Dubois, Chancellor
4. Motion to Convene in Closed Session
Karen A. Popp, Chair
 - a. *To consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual public officer or employee or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6);*
 - b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3); and*
 - c. *to prevent the premature disclosure of an honorary degree, scholarship, prize, or similar award pursuant to N.C.G.S. §143.318.11(a)(2).*

Closed Session

5. Quarterly Report of Faculty Actions
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: Quarterly Report of Faculty Actions
6. Quarterly Report of EPA Non-Faculty Actions
Beth Hardin, Vice Chancellor for Business Affairs
Document: Quarterly Report of EPA Non-Faculty Actions
7. Naming Opportunities
Philip L. Dubois, Chancellor
8. Honorary Degree Nominations
Philip L. Dubois, Chancellor
Documents: Policy, Criteria, and Procedures for the Awarding of Honorary Degrees
Honorary Degrees Conferred
Honorary Degree Pool
9. Distinguished Service Award Nominations
Philip L. Dubois, Chancellor
Documents: Policy, Criteria and Procedure for the Selection of the Distinguished Service Award
Recipients of the Distinguished Service Award
10. Motion to Resume in Open Session
Karen A. Popp, Chair

Open Session

11. Other Business
Karen A. Popp, Chair
12. Adjourn
Karen A. Popp, Chair

Members

Karen A. Popp, Chair
Catherine P. Bessant
Theresa J. Drew
Dhiaa Jamil
Joe L. Price
Laura A. Schulte
Michael L. Wilson



**The University of North Carolina at Charlotte
Board of Trustees**

Academic and Student Affairs Committee Meeting
Friday, October 2, 2015, 10:30 a.m.–11:30 a.m.
UNC Charlotte Foundation Building, Room 112

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of the April 23, 2015, Meeting Minutes
Laura A. Schulte, Chair
Document: April 23, 2015, Meeting Minutes
September 3, 2015, Meeting Minutes
2. Predictive Analytics on Student Success
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: The Education Advisory Board Student Success Collaborative at UNC Charlotte
3. Student Orientation Programs (Orientation)
Christine Reed Davis, Dean of Students
Dennis Wiese, Senior Associate Dean and Director of New Student and Family Services
Document: SOAR: Student Orientation, Advising, and Registration
4. Adjourn
Laura A. Schulte, Chair

Members

Laura A. Schulte, Chair
Susan D. DeVore
Mary Ann Rouse
Michael L. Wilson
Karen A. Popp, *Ex Officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Athletics Committee Meeting

Friday, October 2, 2015, 10:30 a.m.–11:30 a.m.
UNC Charlotte Foundation Building, Room 211

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of the April 23, 2015, Meeting Minutes
Dhiaa Jamil, Chair
Document: April 23, 2015, Athletics Committee Meeting Minutes

2. Intercollegiate Athletics Report
Judy Rose, Director of Athletics
Lisa Hibbs, Director of Athletic Academic Center
Darin Spease, Senior Associate Athletic Director for Business Affairs
Documents: UNC Policy 700.6.1.1, Academic Integrity Regulations
University of North Carolina Policy 1100.1, Intercollegiate Athletics
UNC Policy 1100.1.1, Financial and Other Reporting for Intercollegiate Athletes
July 10, 2015, Memo re: UNC Intercollegiate Athletics Report, 2014–15
UNC Intercollegiate Athletics Survey Responses: 2014–15
Academic Success: Graduation Success Rate Report
Campus Clustering Review Process
Institutional Admissions Policies and Exceptions
Charlotte Athletics Organizational Chart
Effective Practices: Integral Connections Between Academics and Athletics
Bylaws of the Athletic Foundation of the University of North Carolina at Charlotte
Athletic Foundation Financial Statement for the Years Ended June 30, 2014, and 2013, and Independent Auditor’s Report
Final Internal Audit Report, A2014-10, Boosters
Chancellor’s Athletics Certification Memo

- a. Review of Concentration of Classes, Selection of Academic Majors, and Five-year Overview of Student Athlete Admissions
 Lisa Hibbs, Director of the Athletic Academic Center
Documents: Summer II 2014 Concentration of Classes
 Fall 2014 Concentration of Classes
 Spring 2015 Concentration of Classes
 Spring 2015 Majors by College
 Spring 2015 Minors
 Top 10 Majors for Student-Athletes, Spring 2015
 Spring 2015 Majors by Percentage
 Five-year Overview: Student Athlete Admissions

- b. Athletics Financial Indicators Report
 Darin Spease, Senior Associate Athletic Director for Business Affairs
Documents: Student Fee Revenue as a Share of Total Operating Revenue for Athletics
 NCAA Financial Dashboard, Conference USA

- c. Academic Progress Rate Report
 Scott Byrd, Assistant Athletic Director for Compliance
Document: 2013-2014 NCAA Division I 2013–2014 Academic Progress Rate, Public Report

3. Internal Audits

Judy Rose, Director of Athletics

Tom York, Director of Internal Audit

Documents: Final Report, A2015-10B, NCAA Compliance—Recruiting
 Final Report, A2016-1, Transition to FBS Football

4. Athletics Committee Annual Report Schedule

Dhiaa Jamil, Chair

Document: Athletics Committee Calendar

5. Other Business

Dhiaa Jamil, Chair

Members

Dhiaa Jamil, Chair

Catherine P. Bessant

Mitchell J. Daratony

David L. Hauser

Karen A. Popp, *Ex Officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Facilities and Physical Properties Committee Meeting
Friday, October 2, 2015
UNC Charlotte Foundation Building, Room 212

Agenda

The Committee Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the committee of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this committee is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the committee during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Approval of Meeting Minutes 10:30-10:32 a.m.
Theresa Drew, Chair
Document: April 23, 2015, Meeting Minutes
2. Request for Authorization of Capital Project and Site Approval 10:32-10:40 a.m.
Philip L. Dubois, Chancellor
Beth Hardin, Vice Chancellor for Business Affairs
Document: Request for Authorization of Capital Project—
Admissions and Visitors Center
3. Change in Site Plans for Health and Wellness Center 10:40-10:50 a.m.
Philip L. Dubois, Chancellor
Document: Change in Site Plans for Health and Wellness Center
4. Belk Tower Condition Analysis 10:50-10:55 a.m.
Philip L. Dubois, Chancellor
Chris Gilbert, Director of Facilities Planning
Document: Belk Tower
5. Request for Increase in Capital Project Authorization 10:55-11:00 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Document: Request for Increase in Capital Project Authorization—
Residence Dining Hall (RDH) Renovation

6. Requests for Approval of Capital Project Designer Selections 11:00-11:05 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Documents: Request for Approval of Capital Project Designer Selection—
Scott Hall Renovations
Request for Approval of Capital Project Designer Selection—
Health and Wellness Center

7. Request for Ratification of Capital Project Designer Selection 11:05-11:10 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Document: Request for Ratification of Capital Project Designer Selection—
Campus Infrastructure Development Phase II: East Village Utilities

8. Request for Approval of Construction Manager at Risk 11:10-11:15 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Document: Request for Approval of Construction Manager at Risk Selection—
Scott Hall Renovation

9. Status of Cell Service Initiative 11:15-11:25 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Richard LaLiberte, Real Estate Manager
Document: Status of Cell Service Initiative

10. Capital Improvement Projects Update 11:25-11:30 a.m.
Beth Hardin, Vice Chancellor for Business Affairs
Document: Capital Improvement Projects Update

Members

Theresa Drew, Chair

Sharon Decker

Fred Klein

Joe Price

Karen A. Popp, *Ex Officio*



**The University of North Carolina at Charlotte
Board of Trustees**

Friday, October 2, 2015, 12:00–3:30 p.m.
UNC Charlotte Foundation Building, Room 112

Schedule

- 8:00-9:30 a.m. Audit, Compliance, and Enterprise Risk Management Committee Meeting
Foundation Building, Room 212
- 9:30-10:30 a.m. Executive Committee Meeting
Foundation Building, Room 212
- 10:30-11:30 a.m. Academic and Student Affairs Committee Meeting
Foundation Building, Room 112
- 10:30-11:30 a.m. Athletics Committee Meeting
Foundation Building, Room 211
- 10:30-11:30 a.m. Facilities and Physical Properties Committee Meeting
Foundation Building, Room 212
- 12:00-3:30 p.m. Board Will Convene in the Committee of the Whole
Foundation Building, Room 112

Board of Trustees Agenda

The Board Chair will state the following at the beginning of each meeting: *In accordance with the State Government Ethics Act (Chapter 138A of the N.C. General Statutes), I remind all members of the Board of their duty to avoid conflicts of interest and appearances of conflicts of interest. At this time, I will ask whether any member of this Board is aware of any conflict of interest or any appearance of a conflict of interest with respect to any matters coming before the Board during this meeting. Should you become aware of a conflict of interest or the appearance of a conflict of interest later in this meeting, please notify me immediately.*

1. Call to Order
Karen A. Popp, Chair

2. Introductions and Announcements
Karen A. Popp, Chair
3. Introduction and Swearing In of New Trustees
Karen A. Popp, Chair
Sharon Allred Decker, Trustee
Susan A. DeVore, Trustee
Fred W. Klein, Trustee
Melanie White, Executive Assistant to the General Counsel
4. Approval of the April 23, 2015, July 1, 2015, and September 3, 2015, Meeting Minutes
Karen A. Popp, Chair
Documents: April 23, 2015, Board of Trustees Meeting Minutes
July 1, 2015, Board of Trustees Meeting Minutes
September 3, 2015, Board of Trustees Retreat Minutes
5. Remarks by Board of Governors Member
Philip Byers, Board of Governors Member and UNC Charlotte Liaison
6. Remarks by the Chancellor
Philip L. Dubois, Chancellor
7. Campaign Update
Niles Sorensen, Vice Chancellor for Advancement
Document: Campaign Dashboard
8. Legislative Update
Betty Doster, Special Assistant to the Chancellor for Constituent Relations
Document: 2015 Legislative Final Report
9. Economic Opportunity: A Charlotte-Mecklenburg Perspective
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Owen Furusest, Associate Provost for Metropolitan Studies and Extended Academic Programs
Document: Economic Opportunity: A Charlotte-Mecklenburg Perspective
10. Academic Plan
Joan Lorden, Provost and Vice Chancellor for Academic Affairs
Document: Academic Plan
11. Report of the Executive Committee
Karen A. Popp, Chair
12. Report of the Academic and Student Affairs Committee
Laura Schulte, Chair

13. Report of the Athletics Committee

Dhiaa Jamil, Chair

Document: UNC Intercollegiate Athletics Report, 2014-15
UNC Policy 700.6.1.1, Academic Integrity Regulations
University of North Carolina Policy 1100.1, Intercollegiate Athletics
UNC Policy 1100.1.1, Financial and Other Reporting for Intercollegiate Athletes
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Spring 2015 Majors by College
Spring 2015 Minors
Top 10 Majors for Student-Athletes, Spring 2015
Spring 2015 Majors by Percentage
Five-year Overview: Student Athlete Admissions
Student Fee Revenue as a Share of Total Operating Revenue for Athletics
NCAA Financial Dashboard, Conference USA
2013-2014 NCAA Division I 2013–2014 Academic Progress Rate, Public Report

14. Report of the Facilities and Physical Properties Committee

Theresa J. Drew, Chair

Documents: Request for Authorization of Capital Project—Admissions and Visitors Center
Request for Increase in Capital Project Authorization—
Residence Dining Hall Renovation
Request for Approval of Capital Project Designer Selection—
Scott Hall Renovation
Request for Approval of Capital Project Designer Selection—
Health and Wellness Center
Request for Approval of Construction Manager at Risk Selection—
Scott Hall Renovation

15. Report of the Audit, Compliance, and Enterprise Risk Management Committee

Catherine P. Bessant, Chair

16. Clery Report
Jeff Baker, Chief of Police
Document: 2014 Clery and Crime Statistics Review
17. Report of the Student Government Association
Mitchell J. Daratony, Student Body President and Trustee
18. Report of the University Faculty Council
Jeff Leak, Faculty President
Document: Faculty Council Report
19. Report of the University Staff Council
Jim Kay, Chair
Document: Staff Council Report
20. Facility Funding, Design, and Construction Process (Orientation)
Beth Hardin, Vice Chancellor for Business Affairs
Chris Gilbert, Director of Facilities Planning
Document: Facility Funding, Design, and Construction Process
21. Other Business
Karen A. Popp, Chair
22. Motion to Convene in Closed Session
Karen A. Popp, Chair
 - a. *Pursuant to N.C.G.S. §143-318.11(a)(1), to prevent the disclosure of information that is privileged or confidential pursuant to the laws of this State or of the United States, or not considered a public record within the meaning of Chapter 132 of the General Statutes, such privilege or confidentiality arising under the Family Educational Rights and Privacy Act (20 U.S.C. §1232g) or N.C.G.S. Ch. 126, Art. 7;*
 - b. *to consult with and receive advice from counsel pursuant to N.C.G.S. §143-318.11(a)(3);*
 - c. *to consider the qualifications, competence, performance, character, fitness, conditions of appointment, or conditions of initial employment of an individual, public officer or employee, or prospective public officer or employee pursuant to N.C.G.S. §143-318.11(a)(6); and*
 - d. *to prevent the premature disclosure of an award pursuant to N.C.G.S. §143.318.11(a)(2).*

Closed Session

23. Report of the Audit, Compliance, and Enterprise Risk Management Committee
Catherine P. Bessant, Chair
24. Report of the Executive Committee
Karen A. Popp, Chair

25. Naming Opportunities

Philip L. Dubois, Chancellor

26. Hazing Issue

Dave Broome, Vice Chancellor and General Counsel

Susan Burgess, Director of Compliance

Jim Cooney, Womble Carlyle Sandridge & Rice, LLP

Christine Reed Davis, Associate Vice Chancellor for Student Affairs and Dean of Students

Dawn Floyd, Title IX Coordinator

Kathleen Hessert, Sports Media Challenge

Art Jackson, Vice Chancellor for Student Affairs

Judy Rose, Director of Athletics

Stephen Ward, Executive Director of University Communications

27. Motion to Resume in Open Session

Karen A. Popp, Chair

Open Session

28. Adjourn

Karen A. Popp, Chair